TRANSMITTAL FORM



December 28, 2001

10/041,071

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Application No.

Filing Date

(to be used for all correspondence after	er initial filing)	First Named Inventor	Andrew F. Glew					
		Group Art Unit	2185					
		Examiner Name	Unassigned					
Total Number of Pages in This Submissi	on 11	Attorney Docket Number	42390P13769					
ENCLOSURES (check all that apply)								
Fee Transmittal Form	Assignmen (for an App	t Papers lication)	After Allowance Communication to Group					
Fee Attached	Drawing(s)		Appeal Communication to Board of Appeals and Interferences					
Amendment / Response	. Licensing-r	elated Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final Affidavits/declaration(s)	Petition		Proprietary Information					
Extension of Time Request	Petition to (Provisional	Convert a Application	Status Letter Other Enclosure(s) (please identify below):					
Express Abandonment Request	Power of A Change of	ttorney, Revocation Correspondence Address						
Information Disclosure Statement	Terminal D	isclaimer	5 Cited References					
PTO/SB/08	Request for	r Refund						
Certified Copy of Priority Document(s)	CD, Numbe	er of CD(s)	BECEIVED					
Response to Missing Parts/ Incomplete Application	Remarks	MAY 0 1 2003						
Basic Filing Fee Declaration/POA								
Response to Missing		Technology Center 2100						
Response to Missing Parts under 37 CFR 1.52 or 1.53								
SIGNATUR	E OF APPLICAN	IT, ATTORNEY, OR A	GENT					
Firm William W. Sch	naal, Reg. No.	39,018						
	ØKOLOFF, T	TAYLOR & ZAFM	IAN LLP					
Signature	Signature							
Date April 25, 2003								
CERTIF	ICATE OF MAIL	ING/TRANSMISSION						
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: April 25, 2003								
Typed or printed name Corrinn R. I		<i>i i</i>						
Signature Date April 25, 2003								

PTO/SB/17 (01-03)
Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

Application Number	10/041,071	
Filing Date	December 28, 2001	
First Named Inventor	Andrew F. Glew	
Examiner Name	Unassigned	
Group/Art Unit	2185	
Attorney Docket No.	/2300D13760	

Complete if Known

TOTAL AMOUNT OF PA	YMENT	(\$)		lttomey (l No.	4239	0P13769		
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**or number previously paid, i	π greater, ⊢or Reisst	Jes, See Delow	<u> </u>							
SUBMITTED BY			Ta	egistratio	nn Ma	-1		Comp	lete (if applica	
Name (Print/Type) William	W. Schaal			egistratio ttomey/Age		3	39,018	Telephone	(714) 55	7-3800
Signature	1/1							Date	04/2	5/03

This collection of information is required by 27 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Docket No.: 42390P13769



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Group: 2185

Examiner: Unassigned

the Application of:

Andrew F. Glew, et al.

Application No.: 10/041,071

Filed: December 28, 2001

For: Authenticated Code Method and

Apparatus

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

RECEIVED

Commissioner for Patents Washington, D.C. 20231

MAY 0 1 2003

Sir:

Technology Center 2100

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Applicant has concurrently submitted an electronic Information Disclosure Statement(s). Any fees that are required for submission of an Information Disclosure Statement were included in the electronic submission. Applicant respectfully requests that one submitted fee be accepted for the second and any subsequent Information Disclosure Statement submissions.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: April 25, 2003

William W. Schaal, Reg. No. 39,018

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (714) 557-3800

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_April_25, 2003_

04-23-03

Corrinn R. Reynolas

Date

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Substit	ute for F	orm 1449A/PT	O (Modified)	- the var villa	Complete if Known					
INFORMATION DISCLOSURE				Application Number		10/041,071				
STATEMENT BY APPLICANT				Filing Date 2/2		2/28/2001	•			
6/S	FARE	MENT B	BY APP	LICANT	First Named Inventor:		Glew, et al.			
		ase as many she	ets as necessa	ary)	Group Art Unit		2185	2185		
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Exam.	Cite	U.S. Patent	Document	Name of Par	tentee or Applicant		Publication of	Pages, Columns, Lines,		
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	FOREIGN PATENT DOCUMENTS									
Exam. Cite Initial* No.1		Foreign Patent Document Office ³ Number ⁴ Kind Code ⁵ (If known)			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵		
			WO 97/29567		Gressel		_	<u> </u>		
			WO 01/75565		Ellison		_			
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			WO 01/27723A		Pearson		_			
			WO 01/27821A		Pearson					

Examiner	Date Considered	
Signature		

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.